

**New York State Housing Finance Agency, State of New York Mortgage Agency,  
State of New York Municipal Bond Bank Agency  
Request for Information for  
Continuing Compliance Disclosure Services  
QUESTIONS AND ANSWERS: Round 2 dated May 28, 2019**

	<b>QUESTION</b>	<b>AGENCY RESPONSE</b>	<b>RFI REFERENCE</b>
1	What approximate budget or range does the NY HFA envision for this engagement?	This is a Request for Information and as such, the matter of a budget is non-applicable.	N/A
2	Is teaming/partnering with other complementary firms permitted/encouraged?	Yes, teaming and partnering to provide a response to this Request for Information is acceptable.	N/A

**New York State Housing Finance Agency, State of New York Mortgage Agency,  
State of New York Municipal Bond Bank Agency  
Request for Information for  
Continuing Compliance Disclosure Services  
QUESTIONS AND ANSWERS: Round 1 dated May 21, 2019**

	<b>QUESTION</b>	<b>AGENCY RESPONSE</b>	<b>RFI REFERENCE</b>
1	“Manage and store project files” – Is the RFI seeking a party to actively manage project files provided to the third-party provider by the agencies covered by the RFI or for the respondent to identify if their solution has the capability for project files to be managed and stored?	The Agencies are seeking the Respondent to identify if their solution has the capability for project files to be managed and stored.	Page 6, Section 6.1, Item 1
2	“Manage current disclosure obligations” – Does this reference to managing disclosure obligations include dissemination (filing) agent services?	Managing current disclosure obligations can mean dissemination to the agent services, if that service is offered. In addition, the Agencies will look to the Respondent to be able to review outstanding disclosure obligations, track ongoing filings, and the ability to provide information or alerts to the Agency as to the current status of the filing requirements.	Page 6, Section 6.1, Item 2
3	“Monitor unlinked CUSIPs” – What does this mean?	The Agencies are seeking a software solution that highlights or notifies issuers of cases where filings on EMMA are not properly linked to the correct CUSIP or where mistakes have been made in filing.	Page 6, Section 6.1, Item 5